



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Clifton A. Alferness and David M. Kaye

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Title: MITRAL VALVE CONSTRICTING DEVICE, SYSTEM
AND METHOD

Examiner/Unit: Kathryn P. Odland / 3732

Attorney Docket No.: 1931-1

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CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited in the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 29th day of January, 2004.


Signature

**RULE 56 INFORMATION DISCLOSURE AND
ACCOMPANYING SECTION 1.97(e) CERTIFICATION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

In compliance with 37 CFR § 1.56 and § 1.97, Applicants acting by and through the attorney of record, hereby discloses patents and other documents listed on the attached Form PTO-1449 which may be considered relevant to the examination of the subject application.

Copies of the cited references are enclosed.

Certification Under Section 1.97(e)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP

A handwritten signature in black ink, appearing to read "Richard O. Gray, Jr.", written in a cursive style.

Richard O. Gray, Jr.
Attorney for Applicant
Registration No. 26,550
155 - 108th Avenue NE, Ste. 350
Bellevue, WA 98004-5973
(425) 455-5575